
 Section 1	Arizona Department of Financial Institutions Mortgage Broker License Renewal Application License Renewal Period 10/01/2008 to 12/31/2009	 Page 1 of 6

Important Information - Read Carefully and Make Note:

SB 1029 was signed by the Governor which changes the renewal date to December 31. The following information affects the timely renewing of your license with this Department:

As long as a license was issued on or before 9/30/2008, the license must be renewed.

Provide this information to your lenders. AS LONG AS LICENSEE APPEARS ON OUR WEBSITE THEY ARE AN ACTIVE LICENSEE - **WHETHER OR NOT** THEY ARE IN A RENEWING STATUS.

Instructions: Legibly print or type all answers. All questions and statements must be completed. If the answer is "NONE" or "N/A", then state so. Whether you are renewing, requesting inactive status, reactivating or maintaining inactive status the renewal application must be completely filled out, signed by an officer of the licensee on record with DFI, notarized and must be accompanied by the prescribed fee(s). Do not add attachments in lieu of completing our form.

Order Now: You must provide a "current" (August 1st 2008 or newer) CERTIFICATE OF GOOD STANDING from the Arizona Corporation Commission (ACC) with your renewal. Contact the ACC Corporate Records Section at (602) 542-3026 or toll free within Arizona at (800) 345-5819, or via website at www.cc.state.az.us. Licensees such as a sole proprietor, which are not subject to the Arizona Business Corporation Act regulated by the ACC, will not be required to provide one.

Multiple Licenses: If your company holds more than one (1) mortgage broker license with this Department, do not include with this renewal package any other licensee renewals, documents, fees or information that does not apply to the licensee name/address and license number you list on page 1. Submit each license renewal application separately.

Time Sensitive: A.R.S. § 6-904 (B) requires that the renewal package be received in our office on or before September 30th or the license will be suspended. A penalty fee of \$25.00 per day will be assessed for each day after September 30th in which the renewal application and renewal fee are not received by DFI. Renewals that are postmarked on or before September 30th, but received after September 30th will be assessed the penalty beginning October 1st. Mortgage broker licenses will expire if DFI does not receive the renewal application and the applicable renewal fee by October 31st.



Changes To Your License: The licensee is required to notify this department at the time changes are being made (Do not wait until renewal) regarding the license (i.e. licensee name, address, responsible individual, office closure, bond, change of control, top five officers/trustees/partners/directors/owners). Your renewal is not complete until these changes have been processed by this Department. Note: Waiting until renewal time to notify the department of any changes regarding your license will cause a delay in the processing of the renewal application and may result in the assessment of a civil money penalty.

How To Make Changes To Your License: For instructions on how to make changes to your current license visit our website link http://www.azdfi.gov/Licensing/AppPack/ML_App.htm and open "Arizona Specific MU1" and review the "Amending Your License Instructions" (page 3) for the items you will need to provide for the various types of changes that are required to be made by the licensee. **Submit all changes including all "documents/forms and appropriate fees" under separate cover to ensure timely processing of all changes.**

To verify that this department received your renewal, contact your bank and see if the check(s) have cleared. Check with your courier or the mail delivery service that you used.

Arizona Statement of Citizenship and Alien Status for State Public Benefits: this form only needs to be completed by those licensee's that are **sole proprietors**. Each sole proprietor must complete and return with their renewal application.

TO VERIFY if you have been renewed go to our website and click on "List of Licensees". If it shows "Renewing", then DFI has received your renewal application, but we have not completed the processing of your renewal. If it shows an expiration date of December 31, 2009, then your license has been renewed. Please inform your customers or clients that if you are on the website you are active and can still transact mortgage business in Arizona while in the Renewing process. If you have been renewed you will not get a new license unless there has been a change in the name, address or RI on the current license you have. If you have closed any locations you must return the original license to this Department. **Provide a copy of this page to anyone who questions your "Active" status on our website.**

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1. Principal ARIZONA Licensed Location:

Company Name:		License #: MB -	
Doing Business As:			
Physical Address:			
City:		State:	Zip Code:
Telephone Number:	FAX Number:	Tax ID Number:	E-Mail Address:

2. Mailing Address if different from the above licensed primary address:

Physical Address:		E-Mail Address:	
City:	State:	Zip Code:	FAX Number:

3. Corporate Address: (if different from principal licensed location in Arizona)

Physical Address:		E-Mail Address:	
City:	State:	Zip Code:	
Telephone Number:	FAX Number:		



4. Not limited solely to Arizona real estate, list by type the number and dollar amount of all real estate loans that closed during the calendar year, 2007.

Type	Number of Loans	Amount
a. Acquisition, Development, Construction	#	\$
b. One to Four Family Residential	#	\$
c. Multi-Family Residential	#	\$
d. Nonresidential Properties	#	\$
e. Home Equity	#	\$
f. Other (provide description)	#	\$
TOTAL OF #4 a through f above	#	\$

5. Check the applicable box (See Question #4 above for total number of loans.)

I choose to renew my mortgage broker license. I closed 50 loans or fewer in 2007. The fee is \$312.50 for the principal office.	<input type="checkbox"/>
I choose to renew my mortgage broker license. I closed more than 50 loans in 2007. The fee is \$625.00 for the principal office.	<input type="checkbox"/>
I choose to cancel my license. I have enclosed the original(s) of my license(s), including all branch offices along with a letter of cancellation and information pertaining to where my records will be stored. See A.R.S. § 6-906 (A) retention of mortgage loan records.	<input type="checkbox"/>
A LICENSEE MAY NOT BE ON INACTIVE STATUS FOR MORE THAN TWO CONSECUTIVE YEARS, OR FOR MORE THAN FOUR YEARS IN ANY TEN YEAR PERIOD.	
I choose to reactivate my inactive license. I have enclosed my check for \$312.50, the address and telephone number of where business is to be conducted, my surety bond or certificate of deposit in the appropriate amount and certificate of good standing from the Corporation Commission, if applicable.	<input type="checkbox"/>
I choose to continue inactive status of my license. I have enclosed my check for \$312.50.	<input type="checkbox"/>
I choose to place my license in an inactive status. I have enclosed my check for \$312.50, the original(s) of my license(s), including all branch offices. While I am inactive, I can be reached at the following address _____ and telephone, number is (_____) _____ - _____.	<input type="checkbox"/>

6. January 1, through July 31, 2008 Arizona loan volume	#	\$
7. Do you use any investors other than institutional investors? Institutional investor is defined as a state or national bank, a state or federal savings and loan association, a state or federal savings bank, a state or federal credit union, a federal government agency or instrumentality, a quasi-federal government agency, a financial enterprise, a licensed real estate broker or salesman, a profit sharing or pension trust, or an insurance company		<input type="checkbox"/> Yes <input type="checkbox"/> No
8. Are you carrying the appropriate bond coverage? How much? _____ The Bond/CD requirement is ten thousand (\$10,000) dollars for licensees whose investors are limited solely to institutional investors, and fifteen thousand (\$15,000) dollars for licensees whose investors include any non-institutional investors.		<input type="checkbox"/> Yes <input type="checkbox"/> No

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9. Arizona Responsible Individual: Must attach a legible copy of the Arizona driver's license.

Name:		Title:	
Is the Arizona Responsible Individual an Arizona resident?		AZ Driver's License Number:	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		
Residential Address	City	State:	Zip Code:
Residential Telephone Number:		Email Address	
List other Arizona Business interests of the responsible individual. Use addition sheets, if necessary			
Name of Business:		Capacity:	
Name of Business:		Capacity:	

10. Current Ownership. If applicant is owned by an entity, provide the name of the entity and its corporate financials. If owned by individuals, provide the names and percentage owned of each person. List additional owners on a separate sheet.



Name	Title	% Owner
Ownership Must total 100%		%

11. Control. List all persons who have the power to vote more than twenty percent of outstanding voting shares of the licensed corporation, partnership, association or trust. List additional names on a separate sheet.

Name	Title	% of outstanding voting shares



12. List the top (5) persons (the persons who make the day to day decisions); officers, directors, partners, members, trustees whichever is applicable. If any of the top (5) people on file have changed since the last filing and you have not sent us the MU2 and Arizona MU2 with a Fingerprint Card you must do so now. (Fingerprint Fee is **\$24.00** per card and should be on a **separate check** from the renewal fees)

a. Name		Position:	Years in Business
Other Arizona interests	Contact Phone Number:	E-mail address:	
b. Name		Position:	Years in Business
Other Arizona interests	Contact Phone Number:	E-mail address:	
c. Name		Position:	Years in Business
Other Arizona interests	Contact Phone Number:	E-mail address:	
d. Name		Position:	Years in Business
Other Arizona interests	Contact Phone Number:	E-mail address:	
e. Name		Position:	Years in Business
Other Arizona interests	Contact Phone Number:	E-mail address:	

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13. If the answer to any of the following is "YES", provide complete details of all events or *proceedings* in an attachment, including as applicable; name and location of court, docket or case number, and status and summary of event or *proceeding*; copies of applicable charge(s), order(s), and/or consent agreement(s). Refer to the explanation of terms section of the form MU1 instructions (located at http://www.azdfi.gov/Licensing/AppPack/ML_App.htm) for explanations of italicized terms. **Remember to file updates of these disclosures as needed.**

Criminal Disclosure	YES	NO
(A) Has the <i>applicant</i> , officer or a <i>control affiliate</i> ever:		
(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any <i>felony</i> ?	<input type="checkbox"/>	<input type="checkbox"/>
(2) been <i>charged</i> with any <i>felony</i> ?	<input type="checkbox"/>	<input type="checkbox"/>
(B) In the past ten years has the <i>applicant</i> , officer or a <i>control affiliate</i> :		
(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor involving: financial services or a financial services-related business; any fraud, false statements, or omissions; any theft or wrongful taking of property; bribery; perjury; forgery; counterfeiting; extortion; or a conspiracy to commit any of these offenses?</i>	<input type="checkbox"/>	<input type="checkbox"/>
(2) been <i>charged</i> with a <i>misdemeanor</i> specified in 13(B)(1)?	<input type="checkbox"/>	<input type="checkbox"/>
Regulatory Action Disclosure		
(C) In the past ten years, has any State or federal regulatory agency or <i>foreign financial regulatory authority</i> :		
(1) <i>found</i> the <i>applicant</i> , officer or a <i>control affiliate</i> to have made a false statement or omission or been dishonest, unfair or unethical?	<input type="checkbox"/>	<input type="checkbox"/>
(2) <i>found</i> the <i>applicant</i> , officer or a <i>control affiliate</i> to have been <i>involved</i> in a violation of a <i>financial services-related</i> regulation(s) or statute(s)?	<input type="checkbox"/>	<input type="checkbox"/>
(3) <i>found</i> the <i>applicant</i> , officer or a <i>control affiliate</i> to have been a cause of a <i>financial services-related</i> business having its authorization to do business denied, suspended, revoked or restricted?	<input type="checkbox"/>	<input type="checkbox"/>
(4) entered an <i>order</i> against the <i>applicant</i> , officer or a <i>control affiliate</i> in connection with a <i>financial services-related</i> activity?	<input type="checkbox"/>	<input type="checkbox"/>
(5) denied, suspended, or revoked the <i>applicant's</i> , officer's or a <i>control affiliate's</i> registration or license or otherwise, by <i>order</i> , prevented it from associating with a <i>financial services-related</i> business or restricted its activities?	<input type="checkbox"/>	<input type="checkbox"/>
(6) entered an order against the employer of the <i>applicant</i> , any officer or <i>control affiliate</i> for a violation of a <i>financial services-related</i> regulation(s) or statute(s)?	<input type="checkbox"/>	<input type="checkbox"/>
(D) Has the <i>applicant's</i> , officer's or a <i>control affiliate's</i> authorization to act as an attorney, accountant, or State or federal contractor ever been revoked or suspended?	<input type="checkbox"/>	<input type="checkbox"/>
(E) Is the <i>applicant</i> , an officer or a <i>control affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of 13(C)?	<input type="checkbox"/>	<input type="checkbox"/>
Civil Judicial Disclosure		
(F) (1) Has any domestic or foreign court:		
(a) in the past ten years <i>enjoined</i> the <i>applicant</i> , officer or a <i>control affiliate</i> in connection with any <i>financial services-related</i> activity?	<input type="checkbox"/>	<input type="checkbox"/>
(b) in the past ten years <i>found</i> the <i>applicant</i> , officer or a <i>control affiliate</i> to be in violation of any <i>financial services-related</i> statute(s) or regulation(s)?	<input type="checkbox"/>	<input type="checkbox"/>
(c) in the past ten years dismissed, pursuant to a settlement agreement, a <i>financial services-related</i> civil action brought against the <i>applicant</i> , officer or <i>control affiliate</i> by a State or <i>foreign financial regulatory authority</i> ?	<input type="checkbox"/>	<input type="checkbox"/>
(2) Is the <i>applicant</i> , officer or a <i>control affiliate</i> named in any pending <i>financial services-related</i> civil action that could result in a "yes" answer to any part of 13(F)(1)?	<input type="checkbox"/>	<input type="checkbox"/>
Financial Disclosure		
(G) In the past ten years has the <i>applicant</i> , officer or a <i>control affiliate</i> been a mortgage lender or a mortgage broker or a <i>control affiliate</i> , officer of a mortgage lender or a mortgage broker that has been the subject of a bankruptcy petition?	<input type="checkbox"/>	<input type="checkbox"/>
(H) Has a bonding company ever denied, paid out on, or revoked a bond for the <i>applicant</i> or an officer of the <i>applicant</i> ?	<input type="checkbox"/>	<input type="checkbox"/>
(I) Does the <i>applicant</i> or an officer of the <i>applicant</i> have any unsatisfied judgments or liens against it?	<input type="checkbox"/>	<input type="checkbox"/>

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14. Records kept off-site or out-of-state; and/or on a computer or mechanical record keeping system; for compliance see statute A.R.S. §6-906(A).
 If you agree to all conditions listed under A.R.S. 6-906(A), please provide the location where the Arizona records will be kept.

Will records be kept on a computer record keeping system? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Address Line:			
City:		State:	Zip Code:
Contact Person:	Telephone Number:	E-mail Address:	

15. List all names, locations and license numbers of branches. Do not count or list the Arizona Principal Location as a Branch.
 (Make copies of this page for listing additional branches if necessary.)



a. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
b. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
c. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
d. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
e. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
f. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		
g. Designated Branch Manager (Overseer or Contact Person)	Branch Number MBBR -		
Address:	City	State:	Zip Code:
Telephone Number:	Is this Branch Location Type a (check one) <input type="checkbox"/> Commercial or a <input type="checkbox"/> Residential Location		

16. Changes to License: NOTE: This renewal will not be processed until the appropriate paperwork is received for all changes.

Have there been any changes to the name, address, officer's, ownership, or responsible individual since your last renewal?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, does the Department have the appropriate paperwork on file?	<input type="checkbox"/> Yes <input type="checkbox"/> No

17. Certificate of Good Standing:

Have you enclosed a copy of the current "Certificate of Good Standing from the Arizona Corporation Commission? Corporations, LLC's, LC's must comply. If No, write NA if this does not apply to your business	<input type="checkbox"/> Yes <input type="checkbox"/> No
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18. Renewal Fees:	Fees
AZ Principal Place of Business Renewing Either \$312.50 or \$625.00 See box you checked for question number 5.	\$
Active Branches Renewing Enter \$250.00 per branch listed in question 15.	\$
Late Penalties (if applicable) Enter \$25.00 per day beginning 10/01 to the date DFI receives the renewal application and renewal fee.	\$
IF APPLICABLE License Changes (See Compliance page of this renewal on how to make changes to your license) Submit all changes including all "documents/forms and appropriate fees" under separate cover to ensure timely processing of all changes.	
Name Change Enter \$250.00 per license & Include original license(s).	\$
Address Change Enter \$50.00 per location & Include original license.	\$
Responsible Individual Change Enter \$250.00 and include all required documentation.	\$
Fingerprint Card(s) Enter \$24.00 per card. Fingerprint fees must be submitted on a separate check from all other fee types.	\$
Duplicate License Enter \$100.00 per license	\$
Total All Lines Pay the amount entered here.	\$

CHECKLIST -- DID YOU REMEMBER TO:

- ☐ Legibly print or type all answers
- ☐ Answer ALL questions or statements: if not applicable print or type "NONE" or "N/A"
- ☐ Label all attachments properly
- ☐ Have an Officer, on file with DFI, of the Licensee sign the renewal & get it Notarized
- ☐ Enclose a **copy** of your Certificate of Good Standing from the **ARIZONA Corporation Commission**
- ☐ Enclose the prescribed fee(s)
- ☐ Add the late fees of \$25.00 per day to your renewal if it will be received after September 30th. (if applicable)
- ☐ Make a copy, for your records, of your completed renewal before submitting the original to this Department
- ☐ Arizona Statement of Citizenship and Alien Status for State Public Benefits – "For sole proprietor's only"
- ☐ **Submit all changes including all "documents/forms and appropriate fees" under separate cover to ensure timely processing of all changes.**

Make Check(s) payable to: **Arizona Department of Financial Institutions or AZDFI**
AND Remit To: **2910 N. 44th Street, Suite 310**
Phoenix, AZ 85018

19. Licensing Compliance Administrator to contact regarding the processing of this Renewal OR to return Renewal to if submitted incorrectly:

Name:	Title:	FAX Number:	E-mail Address:
Business Address:			Direct Telephone Number & Extension:
City:		State:	City:

Affidavit – Must be Signed by an Officer, on file with DFI, and Notarized	
STATE OF _____	SS
COUNTY OF _____	
I _____ being duly sworn, depose and say that I have signed the <small>print officer's name</small> foregoing application as _____ of the above named applicant, having full authority <small>print officer's title</small> to sign such application in said capacity; that I have read said application and that the information contained therein is true.	
_____ (Date)	_____ (Officer's Signature)
Subscribed and sworn to before me this _____ day of _____ 20____	
_____ My Commission Expires	_____ (Notary Public Signature)

2910 North 44th Street, Suite 310 Phoenix, AZ 85018	Form:	MB-REN-001
	Revised	08/11/2008

	Arizona Department of Financial Institutions ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS	
	Section 2 License Renewal Application	

**Form 1: LONG FORM APPLICANT STATEMENT (revised)
REQUIRING SUBMISSION OF DOCUMENTATION OF STATUS**

**ARIZONA STATEMENT OF CITIZENSHIP
AND ALIEN STATUS FOR STATE PUBLIC BENEFITS**
Professional License and Commercial License
 Arizona Department of Financial Institutions

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrants, and certain aliens paroled into the United States are eligible to receive state or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 1-501 requires, in general, that a person applying for a license must submit documentation to the licensing agency that satisfactorily demonstrates that the applicant is lawfully present in the United States.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III. Submit this completed form and copy of one or more documents that evidence your citizenship or alien status with your application for license or renewal.

SECTION I — APPLICANT INFORMATION

APPLICANT'S NAME (Print or type) _____ DATE _____

TYPE OF APPLICATION (check one) ___ INITIAL APPLICATION ___ RENEWAL

TYPE OF LICENSE _____

SECTION II — CITIZENSHIP OR NATIONAL STATUS DECLARATION

Directions: Attach a legible copy of the front, and the back (if any), of a document from the attached List A or other document that demonstrates U.S. citizenship or nationality. Name of document provided: _____

A. Are you a citizen or national of the United States? (check one) ___ Yes ___ No

B. If the answer is "Yes," where were you born? List city, state (or equivalent), and country.

City _____ State (or equivalent) _____ Country or Territory _____

If you are a citizen or national of the United States, go to Section IV. If you are not a citizen or national of the United States, please complete Sections III and IV.

SECTION III — ALIEN STATUS DECLARATION

Directions: To be completed by applicants who are not citizens or nationals of the United States. Please indicate alien status by checking the appropriate box. Attach a legible copy of the front, and the back (if any), of a document from the attached List B or other document that evidences your status. A.R.S. § 1-501. Name of document provided: _____

"Qualified Alien" Status (8 U.S.C. §§ 1621(a)(1), -1641(b) and (c))

- ☐ 1. An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA).



Section 2

Arizona Department of Financial Institutions

ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS

License Renewal Application



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- ☐ 2. An alien who is granted asylum under Section 208 of the INA.
- ☐ 3. A refugee admitted to the United States under Section 207 of the INA
- ☐ 4. An alien paroled into the United States for at least one year under Section 212(d)(5) of the INA.
- ☐ 5. An alien whose deportation is being withheld under Section 243(h) of the INA.
- ☐ 6. An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
- ☐ 7. An alien who is a Cuban and Haitian entrant (as defined in section 501(e) of the Refugee Education Assistance Act of 1980).
- ☐ 8. An alien who is, or whose child or child's parent is a "battered alien" or an alien subjected to extreme cruelty in the United States.

Nonimmigrant Status (8 U.S.C. § 1621(a)(2))

- ☐ 9. A nonimmigrant under the Immigration and Nationality Act [8 U.S.C. § 1101 et seq.] Nonimmigrants are persons who have temporary status for a specific purpose. See 8 U.S.C. § 1101(a)(15).

Alien Paroled into the United States For Less Than One Year (8 U.S.C. § 1621(a)(3))

- ☐ 10. An alien paroled into the United States for less than one year under Section 212(d)(5) of the INA

Other Persons (8 U.S.C. § 1621(c)(2)(A) and (C))

- ☐ 11. A nonimmigrant whose visa for entry is related to employment in the United States, or
- ☐ 12. A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 *et seq.*];
- ☐ 13. A foreign national not physically present in the United States.

Otherwise Lawfully Present (A.R.S. § 1-501)

- ☐ 14. A person not described in categories 1–13 who is otherwise lawfully present in the United States.
PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. § 1621(a).

SECTION IV — DECLARATION

All applicants must complete this section. I declare under penalty of perjury under the laws of the state of Arizona that the answers I have given are true and correct to the best of my knowledge.

APPLICANT'S SIGNATURE

TODAY'S DATE

Attachment: Lists A and B Evidence of U.S. Citizenship, U.S National Status, or Alien Status,

11/08/07

81662



ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS

License Renewal Application



Attachment to Form 1 Applicant Statement

EVIDENCE OF U.S. CITIZENSHIP, U.S NATIONAL STATUS, OR ALIEN STATUS

LIST A: U.S. CITIZEN OR U.S. NATIONAL

Note: In this List, the term "Service" refers to the U.S. Citizenship and Immigration Service, formerly, the U.S. Immigration and Naturalization Service (INS).

[Source: Proposed Rules, Verification of Eligibility for Public Benefits, 8 CFR § 104.23; 63 FR 41662-01 August 4, 1998); and Interim Guidance of Verification of Citizenship, Qualified Alien Status and Eligibility Under Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 ("Interim Guidance"), 62 FR 61344 (Nov. 17, 1997), Attachment 4]

Evidence showing U.S. citizen or U.S. national status includes the following:

a. Primary Evidence:

- (1) A birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction);
- (2) United States passport;
- (3) Report of birth abroad of a U.S. citizen (FS-240) (issued by the Department of State to U.S. citizens);
- (4) Certificate of Birth (FS-545) (issued by a foreign service post) or Certification of Report of Birth (DS-1350), copies of which are available from the Department of State;
- (5) Form N-561, Certificate of Citizenship;
- (6) Form I-197, United States Citizen Identification Card (issued by the Service until April 7, 1983 to U.S. citizens living near the Canadian or Mexican border who needed it for frequent border crossings) (formerly Form I-179, last issued in February 1974);
- (7) Form I-873 (or prior versions), Northern Marianas Card (issued by the Service to a collectively naturalized U.S. citizen who was born in the Northern Mariana Islands before November 3, 1986);
- (8) Statement provided by a U.S. consular official certifying that the individual is a U.S. citizen (given to an individual born outside the United States who derives citizenship through a parent but does not have an FS-240, FS-545, or DS-1350); or
- (9) Form I-872 (or prior versions), American Indian Card with a classification code "KIC" and a statement on the back identifying the bearer as a U.S. citizen (issued by the Service to U.S. citizen members of the Texas Band of Kickapoos living near the U.S./Mexican border).

[Source: Interim Guidance of Verification of Citizenship, Qualified Alien Status and Eligibility Under Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 ("Interim Guidance"), 62 FR 61344 (Nov. 17, 1997), Attachment 4]

b. Secondary Evidence

If the applicant cannot present one of the documents listed in (a) above, the following may be relied upon to establish U.S. citizenship or U.S. national status:

- (1) Religious record recorded in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction) within three 3 months after birth showing that the birth occurred in such jurisdiction and the date of birth or the individual's age at the time the record was made;
- (2) Evidence of civil service employment by the U.S. government before June 1, 1976;
- (3) Early school records (preferably from the first school) showing the date of admission to the school, the applicant's date and U.S. place of birth, and the name(s) and place(s) of birth of the applicant's parents(s);
- (4) Census record showing name, U.S. nationality or a U.S. place of birth, and applicant's date of birth or age;



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- (5) Adoption finalization papers showing the applicant's name and place of birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction), or, when the adoption is not finalized and the state or other U.S. jurisdiction listed above will not release a birth certificate prior to final adoption, a statement from a State-or jurisdiction-approved adoption agency showing the applicant's name and place of birth in one of such jurisdictions, and stating that the source of the information is an original birth certificate;
- (6) Any other document that establishes a U.S. place of birth or otherwise indicates U.S. nationality (e.g., a contemporaneous hospital record of birth in that hospital in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction);

c. Collective Naturalization

If the applicant cannot present one of the documents listed in (a) or (b) above, the following will establish U.S. citizenship for collectively naturalized individuals:

Puerto Rico:

- Evidence of birth in Puerto Rico on or after April 11, 1899 and the applicant's statement that he or she was residing in the U.S., a U.S. possession or Puerto Rico on January 13, 1941; or
- Evidence that the applicant was a Puerto Rican citizen and the applicant's statement that he or she was residing in Puerto Rico on March 1, 1917 and that he or she did not take an oath of allegiance to Spain.

U.S. Virgin Islands:

- Evidence of birth in the U.S. Virgin Islands, and the applicant's statement of residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927;
- The applicant's statement indicating resident in the U.S. Virgin Islands as a Danish citizen on January 17, 1917 and residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927, and that he or she did not make a declaration to maintain Danish citizenship; or
- Evidence of birth in the U.S. Virgin Islands and the applicant's statement indicating residence in the U.S., a U.S. possession or territory or the Canal Zone on June 28, 1932.

Northern Mariana Islands (NMI) (formerly part of the Trust Territory of the Pacific Islands (TTPI)):

- Evidence of birth in the NMI, TTPI citizenship and residence in the NMI, the U.S., or a U.S. territory or possession on November 3, 1986 (NMI local time) and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time);
- Evidence of TTPI citizenship, continuous residence in the NMI since before November 3, 1981 (NMI local time), voter registration prior to January 1, 1975 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time); or
- Evidence of continuous domicile in the NMI since before January 1, 1974 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time). Note: If a person entered the NMI as a nonimmigrant and lived in the NMI since January 1, 1974, this does not constitute continuous domicile and the individual is not a U.S. citizen

d. Derivative Citizenship

If the applicant cannot present one of the documents listed in a or b above, the following may be used to make a determination of derivative U.S. citizenship:

Applicant born abroad to two U.S. citizen parents: Evidence of the U.S. citizenship of the parents and the relationship of the applicant to the parents, and evidence that at least one parent resided in the U.S. or an outlying possession prior to the applicant's birth.

Applicant born abroad to a U.S. citizen parent and a U.S. non-citizen national parent: Evidence that one parent is a U.S. citizen and that the other is a U.S. non-citizen national, evidence of the relationship of the applicant to the U.S. citizen parent, and evidence that the U.S. citizen parent resided in the U.S., a U.S. possession, American Samoa or Swain's Island for a period of at least one year prior to the applicant's birth.

Applicant born out of wedlock abroad to a U.S. citizen mother: - Evidence of the U.S. citizenship of the mother, evidence of the relationship to the applicant and, for births on or before December 24, 1952, evidence that the



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mother resided in the U.S. prior to the applicant's birth or, for births after December 24, 1952, evidence that the mother had resided, prior to the child's birth, in the U.S. or a U.S. possession for a period of one year.

Applicant born in the Canal Zone or the Republic of Panama:

- A birth certificate showing birth in the Canal Zone on or after February 26, 1904 and before October 1, 1979 and evidence that one parent was a U.S. citizen at the time of the applicant's birth; or
- A birth certificate showing birth in the Republic of Panama on or after February 26, 1904 and before October 1, 1979 and evidence that at least one parent was a U.S. citizen and employed by the U.S. government or the Panama Railroad Company or its successor in title.

In all other situations in which an applicant claims to have a U.S. citizen parent and an alien parent, or claims to fall within one of the above categories, but is unable to present the listed documentation:

- If the applicant is in the U.S., the applicant should contact the local U.S. Citizenship and Immigration Service office for determination of U.S. citizenship;
- If the applicant is outside the U.S., the applicant should contact the State Department for a U.S. citizenship determination.

e. Adoption of Foreign-Born Child by U.S. Citizen

- If the birth certificate shows a foreign place of birth and the applicant cannot be determined to be a naturalized citizen under any of the above criteria, obtain other evidence of U.S. citizenship;
- Because foreign-born adopted children do not automatically acquire U.S. citizenship by virtue of adoption by U.S. citizens, the applicant should contact the local U.S. Citizenship and Immigration Service office for a determination of U.S. citizenship, if the applicant provides no evidence of U.S. citizenship.

f. U.S. Citizenship By Marriage

A woman acquired U.S. citizenship through marriage to a U.S. citizen before September 22, 1922. Provide evidence of U.S. citizenship of the husband, and evidence showing the marriage occurred before September 22, 1922.

Note: If the husband was an alien at the time of the marriage, and became naturalized before September 22, 1922, the wife also acquired naturalized citizenship. If the marriage terminated, the wife maintained her U.S. citizenship if she was residing in the U.S. at that time and continued to reside in the U.S.

LIST B: QUALIFIED ALIENS, NONIMMIGRANTS, AND ALIENS PAROLED INTO U.S. FOR LESS THAN ONE YEAR

The documents listed below that are registration documents are indicated with an asterisk (*).

a. "Qualified Aliens"

Evidence of "Qualified Alien" status includes the following:

Alien Lawfully Admitted for Permanent Residence

- *Form I-551 (Alien Registration Receipt Card, commonly known as a "green card"); or
- Unexpired Temporary I-551 stamp in foreign passport or on *I Form I-94.

Asylee

- * Form I-94 annotated with stamp showing grant of asylum under section 208 of the INA;
- *Form I-688B (Employment Authorization Card) annotated "274a.12(a)(5)";
- * Form I-766 (Employment Authorization Document) annotated "A5";
- Grant letter from the Asylum Office of the U.S. Citizenship and Immigration Service; or
- Order of an immigration judge granting asylum.

Refugee

- * Form I-94 annotated with stamp showing admission under § 207 of the INA;
- * Form I-688B (Employment Authorization Card) annotated "274a.12(a)(3)"; or
- * Form I-766 (Employment Authorization Document) annotated "A3"



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Alien Paroled Into the U.S. for a Least One Year

- * Form I-94 with stamp showing admission for at least one year under section 212(d)(5) of the INA. (Applicant cannot aggregate periods of admission for less than one year to meet the one-year requirement.)

Alien Whose Deportation or Removal Was Withheld

- * Form I-688B (Employment Authorization Card) annotated "274a.12(a)(10)";
- * Form I-766 (Employment Authorization Document) annotated "A10"; or
- Order from an immigration judge showing deportation withheld under §243(h) of the INA as in effect prior to April 1, 1997, or removal withheld under § 241(b)(3) of the INA.

Alien Granted Conditional Entry

- * Form I-94 with stamp showing admission under §203(a)(7) of the INA;
- * Form I-688B (Employment Authorization Card) annotated "274a.12(a)(3)"; or
- * Form I-766 (Employment Authorization Document) annotated "A3."

Cuban/Haitian Entrant

- * Form I-551 (Alien Registration Receipt Card, commonly known as a "green card") with the code CU6, CU7, or CH6;
- Unexpired temporary I-551 stamp in foreign passport or on * Form I-94 with the code CU6 or CU7; or
- Form I-94 with stamp showing parole as "Cuba/Haitian Entrant" under Section 212(d)(5) of the INA.

Alien Who Has Been Declared a Battered Alien or Alien Subjected to Extreme Cruelty

- U.S. Citizenship and Immigration Service petition and supporting documentation

b. Nonimmigrant

Evidence of "Nonimmigrant" status includes the following:

- * Form I-94 with stamp showing authorized admission as nonimmigrant

c. Alien Paroled into U.S. for Less than One Year

Evidence includes:

- * Form I-94 with stamp showing admission for less than one year under section 212(d)(5) of the INA

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